



Your XYIS Onboarding Checklist

At XYIS, we're on a mission to help you make investment management a successful part of your business. That starts with a smooth onboarding process. Follow this checklist guide throughout the 2-6 week journey. Firms with existing accounts will generally take longer to onboard than those without.

1 FOR NEW FIRMS, COMPLETE FIRM REGISTRATION
You will need to include XYIS as a subadvisor in your ADV. XYPN Compliance will provide the proper language.

2 GET CUSTODIAN READY
Check out the knowledge base for specific instructions to guide you through this step.

3 SIGN INVESTMENT ADVISORY AGREEMENT
We will formalize the relationship with XYIS.

4 COMPLETE THE CONFIGURATION FORM
This provides everything that we need to know to prepare for your onboarding.

5 COMPLETE TRAINING
Once your platform is set up, we can begin your training, which will be tailored based on your prior experience.

6 TRANSITION ASSETS TO XYIS
You're ready to go! Once you're done with training, we'll help you transition existing assets, or help you land your first client.

DISCLOSURES

Portfolio management and subadvisor services are offered through XY Investment Solutions (XYIS), a Securities Exchange Commission registered investment advisor based in Bozeman, MT. XYIS and its representatives are in compliance with the current filing requirements imposed upon Securities Exchange Commission registered investment advisors by those states in which XYIS maintains clients. For a detailed discussion of XYIS and its advisory fees, see the firm's Form ADV Part 1 and 2A on file with the SEC at adviserinfo.sec.gov.

