



Your XYIS Onboarding Checklist

At XYIS, we're on a mission to help you make investment management a successful part of your business. That starts with a smooth onboarding process. Below you'll find a checklist that will guide you throughout the process. This process can take anywhere from 2 to 6 weeks depending on various factors.

- 1 COMPLETE THE XYIS ONBOARDING FORM**
This will help us determine what resources you'll need in your onboarding journey.
- 2 COMPLETE FIRM REGISTRATION**
You will need to include XYIS as a subadvisor in your ADV.
- 3 GET APPROVED BY TD AMERITRADE**
If you're not already on the TD platform, you'll need to get approval and a personal rep code.
- 4 SIGN INVESTMENT ADVISORY AGREEMENT & FEE DEBIT AUTHORIZATION FORMS**
Once you're registered and TD-approved, we will formalize the relationship with XYIS.
- 5 COMPLETE THE PLATFORM CONFIGURATION FORM**
Now we can continue setting up your accounts on the Orion platform with some additional information.
- 6 COMPLETE TRAINING**
Once your platform is set up, we can begin your training, which will be tailored based on your prior experience.
- 7 TRANSITION ASSETS TO XYIS**
You're ready to go! Once you're done with training, we'll help you transition existing assets, or help you land your first client.

NOTE: If you are transitioning existing client accounts either at TD or another custodian, we will follow up with additional information on how to proceed.

DISCLOSURES

Portfolio management and subadvisor services are offered through XY Investment Solutions (XYIS), a Securities Exchange Commission registered investment advisor based in Bozeman, MT. XYIS and its representatives are in compliance with the current filing requirements imposed upon Securities Exchange Commission registered investment advisors by those states in which XYIS maintains clients. For a detailed discussion of XYIS and its advisory fees, see the firm's Form ADV Part 1 and 2A on file with the SEC at adviserinfo.sec.gov.

